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# Deficiency for the Ubiquitin Ligase UBE3B in a Blepharophimosis-Ptoxis-Intellectual-Disability Syndrome

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Ubiquitination plays a crucial role in neurodevelopment as exemplified by Angelman syndrome, which is caused by genetic alterations of the ubiquitin ligase-encoding *UBE3A* gene. Although the function of UBE3A has been widely studied, little is known about its paralog UBE3B. By using exome and capillary sequencing, we here identify biallelic *UBE3B* mutations in four patients from three unrelated families presenting an autosomal-recessive blepharophimosis-ptosis-intellectual-disability syndrome characterized by developmental delay, growth retardation with a small head circumference, facial dysmorphisms, and low cholesterol levels. *UBE3B* encodes an uncharacterized E3 ubiquitin ligase. The identified *UBE3B* variants include one frameshift and two splice-site mutations as well as a missense substitution affecting the highly conserved HECT domain. Disruption of mouse *Ube3b* leads to reduced viability and recapitulates key aspects of the human disorder, such as reduced weight and brain size and a downregulation of cholesterol synthesis. We establish that the probable *Caenorhabditis elegans* ortholog of *UBE3B*, *oxi-1*, functions in the ubiquitin/proteasome system in vivo and is especially required under oxidative stress conditions. Our data reveal the pleiotropic effects of UBE3B deficiency and reinforce the physiological importance of ubiquitination in neuronal development and function in mammals.

## Introduction

Ubiquitination is a posttranslational protein modification that plays a key role in brain development. The ubiquitin/proteasome system (UPS) degrades damaged proteins and is part of the cellular protein quality control network.<sup>1–3</sup> Polyubiquitination involves the sequential transfer of the 76 amino acid protein ubiquitin between a ubiquitin-activating enzyme (E1), a ubiquitin-conjugating enzyme (E2), and a ubiquitin ligase (E3). The specificity of this complex process is mainly determined by the E3 ligase, of which more than 600 are encoded in the human genome.<sup>4</sup> HECT domain E3 ligases constitute a major group of E3 enzymes<sup>5</sup> that not only are involved in substrate conjugation and subsequent degradation by

the 26S proteasome but may also regulate the trafficking of specific receptors, channels, and transporters.<sup>6</sup> Ubiquitination is involved in both gliogenesis and neurogenesis through its influence on cellular signaling cascades such as the Notch and Hedgehog pathways.<sup>1</sup> Ubiquitination thereby contributes to regulating neuronal migration, neuritogenesis, and the formation and elimination of synapses. Consequently, alterations of the UPS can engender neuronal dysfunction and lead to neurological disease and intellectual disability (ID).<sup>2</sup>

A prototype disorder involving altered ubiquitination is the human neurodevelopmental disease Angelman syndrome (MIM 105830). Angelman syndrome is characterized by ID, speech delay, gait ataxia, and a characteristic behavior and is often associated with microcephaly and

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seizures.<sup>7</sup> It is caused by mutations of the imprinted gene *UBE3A* (MIM 601623), which encodes the HECT domain-containing ubiquitin ligase E3A. Although some of the clinical signs of Angelman syndrome might be due to ubiquitin-independent functions of *UBE3A*, recent studies suggest that altered ubiquitination of synaptic proteins such as Arc and Ephexin5 contributes to the cognitive dysfunction that occurs in Angelman syndrome.<sup>8,9</sup> Furthermore, maternal 15q duplications encompassing *UBE3A* lead to a predisposition for autism spectrum disorders, and an increased copy number of *Ube3a* may confer autism-related behaviors in mice,<sup>10,11</sup> pointing to an important role of *UBE3A* gene dosage in brain development and function.

The application of classical and high-throughput genetic technologies has recently led to the identification of a large number of genes involved in the pathogenesis of nonsyndromic and syndromic forms of ID,<sup>12–14</sup> and this approach has also been applied to the blepharophimosis-mental retardation (BMR) syndromes, with mutations of the histone acetyltransferase gene *KAT6B* (MIM 605880) causing the autosomal-dominant Say-Barber/Biesecker/Young-Simpson (SBBYS) type of Ohdo syndrome (MIM 603736).<sup>15</sup> The clinically and genetically heterogeneous BMR or Ohdo-like syndromes<sup>16–19</sup> (MIM 249620) are characterized—in addition to developmental delay and ID—by blepharophimosis, a narrowing and shortening of the palpebral fissures, and various congenital anomalies. Here, we have identified and characterized mutations of *UBE3B* (MIM 608047) in an ID syndrome of this clinical spectrum and we show that disruption of mouse *Ube3b* phenocopies some aspects of the human disorder.

## Subjects and Methods

### Subjects

The research project on the genetics of ID syndromes was performed with approval by the ethics committees of the Rabin Medical Center (Petah Tikva, Israel) and the University of Ulm (Ulm, Germany). Subjects were enrolled with parental written informed consent to participate in the study. DNA was extracted according to standard protocols.

### Exome Sequencing

Genomic DNA was enriched for exonic and adjacent splice site sequences with the Agilent SureSelect Human All Exon 50 Mb kit and libraries were run on three lanes of the Illumina Genome Analyzer IIX Sequencer via the single end read 150 bp protocol. Further data analysis of the resulting filter-passed reads was performed with the in-house pipeline V1.4 with BWA-short<sup>20</sup> in combination with SAMTOOLS 0.1.7 for SNP and indel detection.<sup>21</sup> In-house developed scripts were applied to detect protein changes, affected splice sites, and overlaps to known variations (ENSEMBL build 61, 1000 Genomes project release 2010\_03, in-house variation database containing >100 exomes). We searched for stretches of homozygosity by using SNPs (excluding indels) with a Phred score >100 and a coverage of >20-fold, and SNPs with an allele frequency >85% were considered homozygous.

## Sanger Sequencing Validation and SNP Array Genotyping

Validation of variants detected by exome sequencing was performed by Sanger sequencing. Primer sequences are listed in Table S1 available online. PCR products were sequenced on an ABI 3730 DNA Analyzer with BigDye chemistry v3.1 (Applied Biosystems). Sequence traces were assembled, aligned, and analyzed with the Seqman software (DNASTAR Lasergene). Mutation nomenclature is based on GenBank transcript NM\_130466.2, which contains 28 exons; the start codon is located in exon 3. DNA of 100 anonymous control individuals each of Israeli-Arab and Italian origin were tested for the relevant mutation(s) by direct sequencing. DNA from patient 4 and her parents was genotyped on an Affymetrix GeneChip Human Mapping 250K Nsp Array.

### RT-PCR

Total RNA from peripheral white blood cells of affected individuals and controls was extracted from fresh EDTA blood by a standard trizol protocol. RT-PCR was performed with the QIAGEN OneStep RT-PCR kit with primers *UBE3B*-RT-PCR-F1 5'-TTGGAATGCCTGAACAATGA-3' and *UBE3B*-RT-PCR-R1 5'-TGGAAGAGCACGCTAGGTTT-3' (for the c.1741+2T>C mutation) and *UBE3B*-RT-PCR-F2 5'-GTATGTGTCCTGGCTTGTC-3' and *UBE3B*-RT-PCR-R2 5'-ATCACGGCATCGATCTGGTC-3' (for the c.545–2A>G mutation). PCR products were cut out from a 2% agarose gel and directly sequenced after purification.

### 3D Structural Analysis

To assess the potential functional consequences of the p.Gln727Pro substitution, the HECT domain of *UBE3B* was modeled to several structurally characterized HECT domains, including the HECT domain of SMURF2,<sup>22</sup> by using the PHYRE2 server.<sup>23</sup>

### Analysis of Protein Degradation in *C. elegans*

*Caenorhabditis elegans* strains were maintained according to standard procedures and grown at 20°C.<sup>24</sup> The strain PP563 *unc-119(ed4)III; hhlIs64 [unc-119(+); sur-5::UbV-GFP]III* was used for analysis.

RNA interference was performed via the feeding method.<sup>25</sup> The *oxi-1* RNAi clone was obtained from the Ahringer RNAi library<sup>26</sup> and the empty feeding vector was used as a control. Worms were applied at the L4 larval stage on standard RNAi plates with or without addition of paraquat (Sigma) and analyzed for the expression of GFP after 24 hr at 20°C.

Worms were treated with RNAi on control or *oxi-1* RNAi plates without the addition of paraquat. qRT-PCR was performed as previously described.<sup>27</sup>

Worms were imaged under a Leica M165 FC stereomicroscope equipped with a Leica DFC 340 FX camera and handled with the Leica LAS V3.4.0 analysis software. Images were processed with Adobe Photoshop CS 4 software for figure assembly.

Immunoblotting was performed as previously described.<sup>27</sup>

### Mouse In Situ Hybridization

Part of the *Ube3b* mRNA (nucleotides 3,666–4,464 of GenBank transcript NM\_054093) was amplified from murine postnatal day (P) 46 brain cDNA. The PCR product was then cloned into the pCRII-TOPO vector (Invitrogen) and the insert was sequence verified. In situ hybridizations were performed on whole embryo

sections at embryonic day (E) 12.5 and E14.5 and on brain (E16.5, P9, and P24) and head (P0) sections as previously described.<sup>28</sup>

### Generation of *Ube3b* Genetrap Mice

Mutant mice were generated through blastocyst injection of mutant embryonic stem cells (ESCs) obtained from the International Gene Trap consortium; specifically BayGenomics gene trap ESC clone RRJ142 (129P2/OlaHsd). Chimeric mice were bred to CBA/Ca females, and the colony was expanded and maintained by intercrossing; control mice were wild-type littermates. Thus, these studies were carried out on a 129P2/Ola × CBA/Ca mixed genetic background. The strain has been deposited at the European Mutant Mouse Archive (EM:04922). Analyses were performed retrospectively on data generated by the Mouse Genetics Project at the Wellcome Trust Sanger Institute. The gene trap vector is inserted upstream of the majority of the exons encoding the HECT domain. Genotyping was performed by PCR on ear clip lysate to detect the wild-type (5'-CGGCACGTTCCACTGTCCC-3' and 5'-TGCCAGCCCCACCAGCATC-3'; 664 bp) and mutant alleles (5'-CGGCACGTTCCACTGTCCC-3' and 5'-GCACGCCATACAGTCTCTTC-3'; 470 bp), as well as the *E. coli* lacZ cassette present in the gene trap vector (5'-ATCACGACGCGCTGTATC-3' and 5'-ACATCGGGCAAATAATATCG-3'; 108 bp). Cycling conditions for the wild-type and mutant PCRs were 94°C 5 min; 35× (94°C 30 s, 58°C 30 s, 72°C 45 s); 72°C 5 min. For lacZ, the same conditions were used except the annealing temperature was shifted to 60°C.

We verified absence of *Ube3b* mRNA in *Ube3b*<sup>-/-</sup> mice by qRT-PCR. Frozen skin (pinnae) samples were homogenized and RNA was extracted with a QIAGEN fibrous tissue extraction kit. 1 µl (~100–200 ng) of total RNA was used in a 10 µl reaction with a TaqMan RNA-to-CT One Step kit (Applied Biosystems). A TaqMan probe spanning exons 19–20 of *Ube3b*, flanking the gene trap insertion point (Applied Biosystems probe Mm00459868\_m1), was used in a multiplex reaction with either a primer-limited *B2m* or a *Gapdh* endogenous control (Applied Biosystems). Reactions were performed in triplicate on an ABI Viia7 qPCR machine and analyzed with Viia7 1.1 software, with the relative quantification module. Reactions performed with the *Gapdh* endogenous probe gave a higher variation within the technical replicates than with the *B2m* probe. No *Ube3b* expression was detected with either endogenous control assay.

### Mouse Phenotypic Analysis

#### Animals

The care and use of all mice in this study were in accordance with the UK Home Office regulations, UK Animals (Scientific Procedures) Act of 1986. Mice were bred and maintained in a specific-pathogen-free unit, room temperature and humidity regulated (21°C ± 2°C; 55% ± 10%), and 12/12 hr light/dark cycle with lights off at 19:30 hr and no twilight period. Mice were housed in individually ventilated cages (IVC) (Techniplast Seal Safe 1284L) receiving 60 air changes per hour, at a stocking density of 3–5 mice per cage (floor area = 530 cm<sup>2</sup>). Aspen bedding substrate and standard environmental enrichment of nestlet, cardboard tunnel, and three wooden chew blocks were provided. Mice were given water and breeding diet (5021, Labdiet) ad libitum.

#### Phenotyping

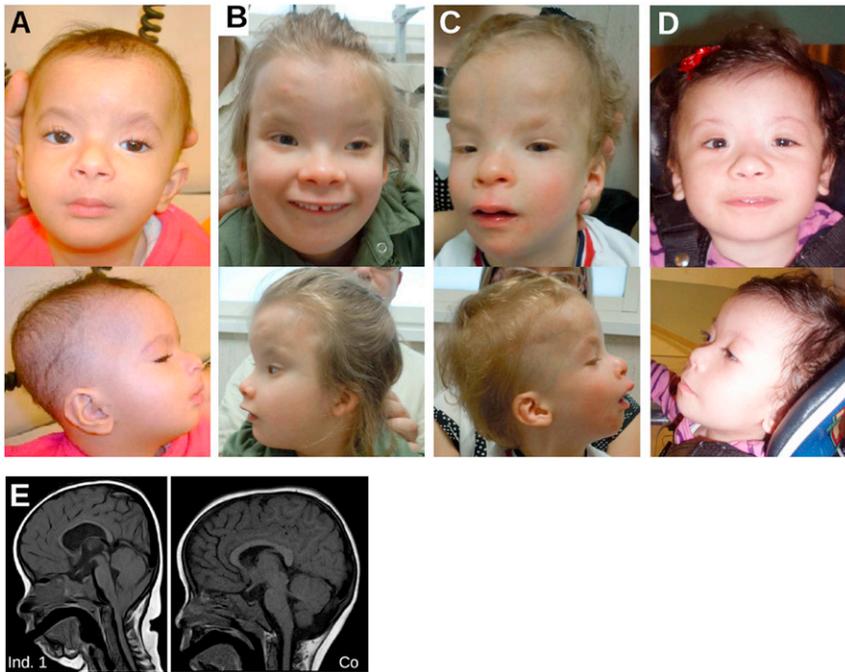
Female mice were weighed weekly between 9 and 14 weeks of age. At 9 weeks of age, grip strength was assessed (Bioseb grip strength meter). Three trials each of forepaws and all paws were performed in succession. Representative data are presented (all-paw trial 1). At

14 weeks of age, nonfasted mice were terminally anesthetized with Avertin (1.25% 2,2,2-Tribromoethanol, Sigma Aldrich) and blood was collected via the retro-orbital sinus into lithium/heparin-coated tubes. After centrifugation (5,000 × g, 10 min, 8°C), plasma was analyzed for low-density lipoprotein concentration with an Olympus AU400. After the terminal bleed, tissues were fixed in 4% paraformaldehyde, processed through to paraffin, and sectioned (5 µm). An automatic slide stainer (Leica ST5020) was used to generate hematoxylin and eosin (H&E)-stained sections of the eyes and brain. 14-week-old mice fed on high-fat diet (Western RD, 829100, Special Diets Services) from 4 weeks of age were anesthetized and high-resolution digital X-ray images were acquired with a MX20 (Faxitron X-ray Corporation). Brains from two 6-week-old male *Ube3b*<sup>-/-</sup> mice and nine age-, gender-, and background-matched controls were perfusion fixed in 4% PFA. Prior to sectioning, brains were placed in 30% sucrose/PBS solution for 24 hr. Brains were then sectioned coronally at 40 µm on a sliding microtome. Matched sections were mounted on electrostatic slides, Nissl stained, and digitally scanned to computer on an Epson V750 pro scanner at 6400 dpi. ImageJ free-ware was subsequently used to take measurements of total brain section area, hippocampus area, and dentate gyrus length. To avoid introduction of bias, experimenters were blind to the genotype of the mice during all procedures. Furthermore, cages were processed randomly and different genotypes housed together. Auditory brainstem response (ABR) thresholds were recorded in anesthetized mice via subcutaneous pin electrodes on the head as described previously.<sup>29</sup> Averaged ABR waveforms to broad-band clicks and tonepips presented in 3 dB steps were examined to determine the lowest intensity to give a recognizable response. After ABR, mice were culled, middle ears were opened and examined, and inner ears were removed, cleared, and examined.

Numbers of homozygous (*Ube3b*<sup>-/-</sup>; Hom), heterozygous (*Ube3b*<sup>+/-</sup>; Het) and WT mice used in the different phenotypic analyses are as follows: weight: 7 Hom, 15 Het, 9 WT; grip strength: 7 Hom, 15 Het, 9 WT; LDL cholesterol: 5 Hom, 14 Het, 4 WT; brain section area: 2 Hom, 0 Het, 9 WT; hippocampus area: 2 Hom, 0 Het, 9 WT; length of the dentate gyrus: 2 Hom, 0 Het, 9 WT; ABR at 3 months: 7 Hom, 10 Het, 14 WT; ABR at 6 months: 6 Hom, 7 Het, 9 WT; survival at 2 weeks: 31 Hom, 263 Het, 124 WT.

### Statistical Methods

For the comparison of growth curves, statistical significance—in terms of differences in the rate of growth between the three genotypes—was assessed via the CompareGrowthCurve function of the statmod software package for R. The initial p values were adjusted for multiple testing by Holm's method. For the comparison of LDL levels and grip strength, a mixed model data analysis was completed with a six step top-down methodology to build the final model as detailed in West et al.<sup>30</sup> The process starts with a two level random intercept mixed model with assay date as a random effect and genotype, sex, body weight, and genotype\* sex interaction term as fixed effects. Within the six step procedure, a 0.05 significance threshold was used to assess the output of the hypothesis testing. ABR thresholds were significantly raised in homozygotes compared with wild-types via either the Kruskal Wallis ANOVA on ranks (p < 0.001) or Dunn's method of multiple comparisons. Differences in brain section and hippocampus areas and the length of the dentate gyrus were assessed with t tests performed in R.



**Figure 1. A Blepharophimosis-Ptois-Intellectual-Disability Syndrome**

(A–D) Individual 1 at the age of 1 year (A), individual 2 at the age of 7 years 7 months (B), individual 3 at the age of 3 years 1 month (C), and individual 4 at the age of 1 year 2 months (D). Note characteristic facial features with blepharophimosis and ptosis, sparse hair, sparse and arched eyebrows, long philtrum, anteverted nares, retrognathia, and low-set, posteriorly rotated ears. Individuals 2 and 3 also have upslanting palpebral fissures; this feature develops with age.

(E) Cranial MRI scan of individual 1 (Ind. 1) at age 1 year 10 months shows cerebellar tonsillar ectopia consistent with Chiari 1 malformation, thin corpus callosum, and an overall small head. A cranial MRI scan of a healthy age-matched control (Co) is also shown.

## Results

### Clinical Delineation of an Intellectual-Disability Syndrome

We initially studied three individuals from two unrelated families with an apparently identical BMR syndrome (Supplemental Data). Individual 1 from family 1 (Figures 1A and S1, Table 1) was a girl born to unaffected parents of Israeli-Arab origin who are first cousins. We noted a striking facial similarity and overlapping clinical features with the previously reported female and male siblings from family 2 (individuals 2 and 3, respectively; Figures 1B, 1C, and S1, Table 1)<sup>19</sup> in which the unaffected parents of Italian descent are not consanguineous. Common clinical signs noted in the three affected individuals included characteristic facial dysmorphisms with blepharophimosis, ptosis, and mild upslanting of the palpebral fissures and epicanthus (Figures 1A–1C); ectodermal anomalies; developmental delay; and severe ID with absent speech (Supplemental Data). Proportionate growth retardation with a small head circumference/microcephaly, congenital malformations, muscular hypotonia, and anomalies on brain imaging with hypoplasia of the corpus callosum (Figure 1E) were variably present (Table 1). We refer to this syndrome as a blepharophimosis-ptosis-intellectual-disability (BPID) syndrome belonging to the heterogeneous group of BMR syndromes.

Routine biochemical serum analysis revealed that HDL cholesterol was low in individual 1, and low total cholesterol was noted in individual 2, suggesting that a defect in cholesterol metabolism might be part of the syndrome. We therefore performed mass spectrometry-based analysis of patient serum samples, which showed a consistent downregulation of cholesterol synthesis in the three

patients, including a low lathosterol/total sterol ratio (lathosterol/total sterol ratio in patients versus controls

0.46). In conclusion, the autosomal-recessive BPID syndrome affecting individuals 1–3 represents a clinically recognizable neurodevelopmental disorder.<sup>16,19</sup>

### Exome Sequencing Identifies Truncating *UBE3B* Mutations

We sequenced the exomes of unrelated individuals 1 and 2 on an Illumina platform after enrichment of exonic and splice-site sequences. We mapped reads to the hg19 human reference genome and obtained a mean coverage of 124-fold for both subjects. Approximately 90% of target sequences were covered at least ten times (Table S2).

We observed no mutation in *KAT6B*, a gene recently shown to be mutated in the majority of the patients with the autosomal-dominant SBBYS type of Ohdo syndrome.<sup>15</sup> Because of parental consanguinity in family 1 and the lack of consanguinity in family 2 and assuming an autosomal-recessive inheritance pattern and genetic homogeneity, we searched for a gene harboring a rare homozygous variant in individual 1 and rare compound heterozygous variants in individual 2. We considered variants if they were not annotated as single-nucleotide polymorphisms (SNPs) in dbSNP132, the 1000 Genomes data, and our in-house exome database and focused on missense, nonsense, small insertion/deletion, and splice site variants. We identified 29 autosomal regions of extended homozygosity in individual 1 (Table S3). Sixteen genes mapping to these regions harbored a rare homozygous nonsynonymous or splice site variant in individual 1 (Figure S2). Only 1 of these 16 genes, however, *UBE3B*, also carried two distinct rare variants in individual 2, consistent with compound heterozygous mutations. Thus, *UBE3B* was the only gene with rare or unique biallelic damaging mutations observed in both affected individuals.

**Table 1. Clinical, Radiological, and Biochemical Features of Individuals with Biallelic *UBE3B* Mutations**

	Family 1	Family 2		Family 3
	Individual 1	Individual 2	Individual 3	Individual 4
Origin	Israel	Italy		Tunisia
Parental consanguinity	+ (first cousins)	-	-	+ (first cousins)
Sex	F	F	M	F
<b>Pregnancy/Neonatal Period</b>				
Oligohydramnios	-	-	+	-
Respiratory problems	stridor due to laryngomalacia	apnea	-	stridor due to laryngomalacia
Feeding difficulties/GER	+	(+) (improved at 5 years)	+	+
Frequent infections	recurrent otitis media	-	recurrent bronchitis until 1 year	recurrent respiratory infections
<b>Growth</b>				
Failure to thrive	+	+	+	+
Short stature	-	+	+	+
Microcephaly	+	+	+	+
<b>Motor and Cognitive Development</b>				
Delayed motor milestones	+	+	+	+
Absent speech	+ (3 years 3 months)	+ (7 years 7 months)	+ (3 years 1 month)	+ (17 months)
Severe intellectual disability	+	+	+	severe developmental delay
<b>Craniofacial</b>				
Blepharophimosis	+	+	+	+
Ptosis	+	+ (left)	-	+
Upward-slanting palpebral fissures	-	+	+	-
Telecanthus	+	+	+	+
Hypertelorism	-	+	+	+
Depressed nasal bridge	+	+	+	+
Anteverted nares	+	+	+	+
Low-set/dysplastic ears	+	+	+	+
Palatal anomalies	high arched palate	+ (submucous cleft palate)	-	-
Micrognathia	+	+	+	+
Small mouth	+	+	+	+
<b>Neurological</b>				
Abnormal brain image	chiari type I malformation, ventricular dilatation, hypoplastic CC	agenesis of the CC rostrum, anterior commissure not evident	reduced size of the pituitary gland, partial empty sella	hypoplastic CC
Axial hypotonia	+	+	-	+
Ocular impairment	+ (astigmatism)	+ (astigmatism, mild strabism, and myopia)	-	-
Hearing impairment	+ (conductive)	-	-	-
<b>Ectodermal Anomalies</b>				
Sparse thin hair/eyebrows	+	+	+	+
Eczema	-	-	+	-
Thin skin	+	+	+	+

**Table 1. Continued**

	Family 1	Family 2		Family 3
	Individual 1	Individual 2	Individual 3	Individual 4
<b>Cardiac</b>				
Congenital heart disease	+ (ASD, VSD, aortic coarctation)	-	-	+ (ASD)
<b>Gastrointestinal</b>				
Gastrectasia	-	+	-	-
Constipation	-	(+) (resolved at 6y)	+	-
Distension of gall-bladder	-	+	-	-
Intestinal malrotation	+	-	-	-
<b>Skeletal/Limb</b>				
Clinodactyly of 5 <sup>th</sup> fingers	-	+	+	-
Congenital dislocation of the hip	+	-	-	+
Urogenital abnormalities	mild left pyelectasis	double right kidney, right pyelectasis	-	Hypoplastic labia majora
<b>Endocrine and Laboratory Anomalies</b>				
Thyroid anomalies	-	elevated TSH (until 5 years) and reduced thyroid gland volume	-	-
Low growth hormone levels	NA	-	+	NA
Low ACTH level	NA	-	+	NA
Anomalies of cholesterol levels	low HDL cholesterol	low total cholesterol	-	low total cholesterol
Others	severe hyperlaxity	torticollis, delayed bone age	-	partial epilepsy
<i>UBE3B</i> mutation paternal allele	c.1741+2G>C	c.545-2A>G	c.545-2A>G	c.2180A>C (p.Gln727Pro)
<i>UBE3B</i> mutation maternal allele	c.1741+2G>C	c.2223_2224delAG (p.Arg741Serfs*3)	c.2223_2224delAG (p.Arg741Serfs*3)	c.2180A>C (p.Gln727Pro)

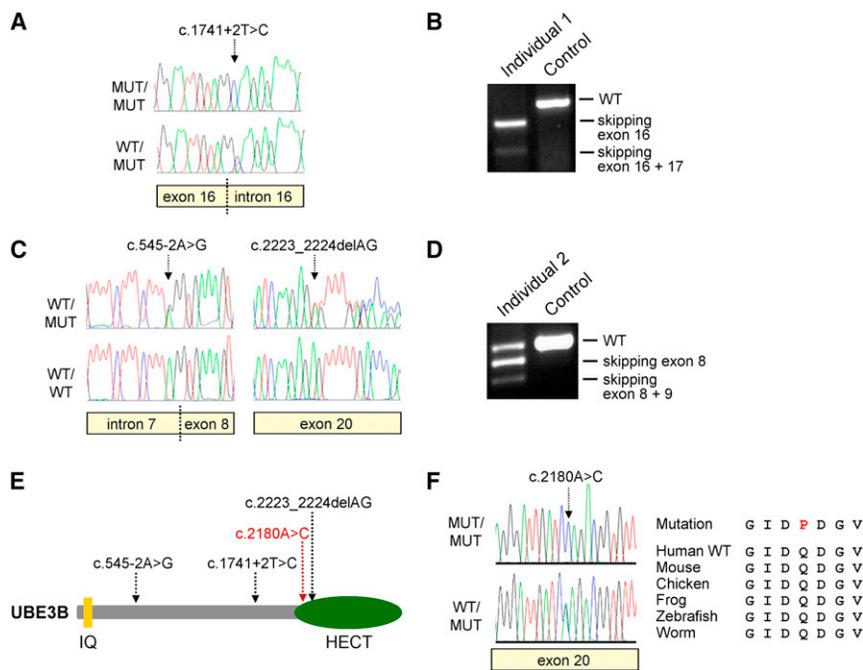
Abbreviations: ASD, atrial septal defect; CC, corpus callosum; GER, gastroesophageal reflux; HDL, high-density lipoprotein; NA, not available/not performed; VSD, ventricular septal defect.

### Confirmation and Characterization of Biallelic *UBE3B* Mutations

We confirmed all three *UBE3B* mutations by capillary (Sanger) sequencing. Individual 1 was homozygous for a c.1741+2T>C mutation (Figure 2A) affecting the consensus splice donor site of exon 16. This mutation led to skipping of exon 16 and—to a lesser extent—skipping of exons 16 and 17 in RNA derived from blood of individual 1 (Figure 2B). The parents were both heterozygous carriers of this mutation. In family 2, both affected siblings were compound heterozygous for a maternally inherited 2 bp deletion (c.2223\_2224delAG [p.Arg741Serfs\*3]) and a paternally inherited splice acceptor mutation (c.545-2A>G; Figure 2C). The latter mutation again caused exon skipping, namely skipping of exon 8 and skipping of exons 8 and 9 in RNA derived from blood of individual 2 (Figure 2D). All three *UBE3B* mutations introduce premature termination codons and are thus expected to result

in nonsense-mediated mRNA decay and/or protein truncation. None of these mutations was present in 100 ethnically matched control individuals as shown by sequencing of the respective exons. The mutations were also not present in ~7,000 European-American control alleles sequenced by the NHLBI Exome Sequencing Project.<sup>31</sup>

*UBE3B* encodes the putative ubiquitin ligase E3B, a protein of 1,068 amino acids that contains an N-terminal IQ domain and a C-terminal HECT domain.<sup>32</sup> HECT domains are crucial for the function of E3 ubiquitin ligases because they mediate both the binding of an E2 ubiquitin-conjugating enzyme through their large N-terminal subdomain and the transfer of ubiquitin to the substrate, which is mediated by the catalytic cysteine located in the small C-terminal subdomain.<sup>6</sup> The three *UBE3B* mutations we report lead to protein truncation before or at the beginning of the HECT domain (Figure 2E), thus presumably completely abolishing the enzyme's E3 ligase activity.



**Figure 2. *UBE3B* Mutations Cause the BPID Syndrome**

(A and B) Sequence chromatograms showing the homozygous (MUT/MUT) and heterozygous (WT/MUT) *UBE3B* splice donor mutation c.1741+2T>C identified in family 1 (A) that leads to skipping of exon 16 (119 bp; out-of-frame) and exons 16 and 17 (234 bp; in-frame) as shown by RT-PCR on RNA extracted from whole blood of individual 1 (B). Exon skipping was confirmed by sequence analysis of the respective RT-PCR products (not shown).

(C and D) Individuals 2 and 3 are compound heterozygotes for c.2223\_2224delAG and the splice acceptor mutation c.545-2A>G (C), the latter leading to out-of-frame skipping of exon 8 (86 bp) and exons 8 and 9 (169 bp) as shown by RT-PCR (D) and sequence analysis (not shown).

(E) Protein diagram of human *UBE3B* depicting the N-terminal IQ domain (short calmodulin-binding motif containing conserved Ile [I] and Gln [Q] residues) and the C-terminal HECT (homologous to the E6-AP carboxyl terminus) domain.

The positions of the three truncating mutations identified in individuals 1–3 are indicated by black arrows and the p.Gln727Pro missense alteration is shown in red.

(F) Sequence chromatograms showing the homozygous (MUT/MUT) and heterozygous (WT/MUT) *UBE3B* missense alteration p.Gln727Pro (c.2180A>C) identified in individual 4 and multiple sequence alignment of *UBE3B* orthologs surrounding the Gln727 position in the human protein.

### Identification of a Missense Substitution in the *UBE3B* HECT Domain in an Additional Individual with BPID Syndrome

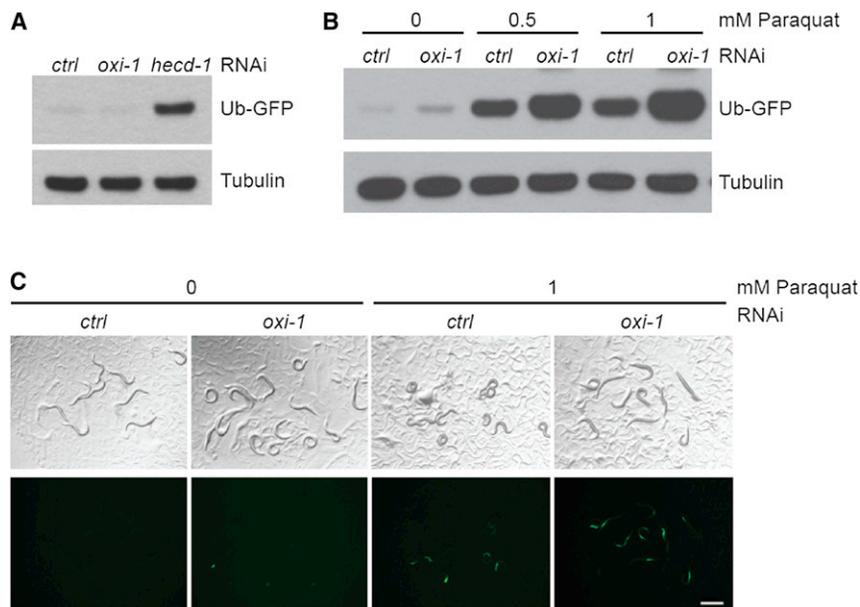
To obtain additional evidence for the involvement of *UBE3B* mutations in BMR syndromes, we sequenced the gene in a girl (individual 4 from family 3; Figures 1D and S1, Table 1) with a BMR syndrome characterized by short stature, developmental delay, seizures, hypoplasia of the corpus callosum, sparse hair, and thin skin. She had minor facial dysmorphic features (Figure 1D), and the clinical picture strongly suggested that she was affected by the same BPID syndrome as individuals 1–3. In line with this assumption, her total cholesterol was <100 mg/dl (which is below the fifth centile for children and adolescents) with relatively low lathosterol, comparable to the findings in individuals 1–3. Individual 4 was born to unaffected parents of Tunisian ancestry who are first cousins, suggesting that a causative mutation would be present in the homozygous state. By sequencing the coding exons of *UBE3B*, we indeed detected a homozygous mutation, c.2180A>C, that was predicted to lead to a substitution of a highly conserved glutamine by proline (p.Gln727Pro; Figure 2F). Both parents were heterozygous mutation carriers and the mutation was located within a 35 Mb region of homozygosity (between rs2583147 and rs11059122) in the proband as shown by genome-wide SNP array genotyping, consistent with a large region of homozygosity-by-descent. The c.2180A>C mutation was absent from 100 Tunisian control individuals as ascertained by sequencing

of *UBE3B* exon 20. It was also not present in the ~10,000 alleles sequenced by the NHLBI Exome Sequencing Project.

Gln727 is located in the HECT domain of *UBE3B* and is strictly conserved in orthologs from 41 species for which *Ube3b* protein sequences are available at the UCSC multiple species sequence alignment, including mouse, chicken, zebrafish, the frog *Xenopus tropicalis*, and the worm *Caenorhabditis elegans* (Figure 2F and data not shown). Three-dimensional modeling of the *UBE3B* HECT domain to several structurally characterized HECT domains showed that the mutated Gln727 is not within reach of the catalytic site but is on the same face of the HECT domain (Figure S3) and might thus be involved in substrate recognition and binding.

### The *C. elegans* Ortholog of *UBE3B* Plays a Role in the UPS under Conditions of Oxidative Stress

Although the primary protein sequence and domain architecture of *UBE3B* predict an E3 ligase function,<sup>32</sup> experimental support for this assumption is lacking and specific ubiquitination targets of *UBE3B* have not been identified. We used a *C. elegans* in vivo assay<sup>27</sup> to investigate and characterize a possible role of the orthologous gene *oxi-1* in the UPS. The protein sequences of human *UBE3B* and worm *OXI-1* are 37% identical and 58% similar overall and 62% identical in the HECT domain. Moreover, a phylogenetic analysis shows that *OXI-1* is more closely related to human *UBE3B* than to *UBE3A* and *UBE3C* (Figure S4A), suggesting that *OXI-1* represents the species ortholog of



**Figure 3.** *oxi-1*, the *Caenorhabditis elegans* Ortholog of *UBE3B*, Is Involved in Ubiquitin/Proteasome-Mediated Protein Turnover In Vivo

(A) Immunoblot of lysates derived from worms after control (*ctrl*) RNAi or RNAi against *oxi-1* or *hecd-1*.

(B) Immunoblot of lysates derived from worms after control or *oxi-1* RNAi without (0) or with addition of 0.5 or 1 mM paraquat. The blot was performed with a GFP-specific antibody; tubulin serves as loading control.

(C) Images of *C. elegans* that express the UbV-GFP reporter to measure protein turnover. Worms were treated as in (B). Top, transmitted light; bottom, GFP fluorescence. Scale bar represents 0.5 mm.

*UBE3B*. *oxi-1* was originally identified as an oxidative stress-responsive gene because its expression is upregulated under high-oxygen conditions.<sup>33</sup>

We used an engineered ubiquitin fusion degradation (UFD) substrate in which a noncleavable ubiquitin is N-terminally fused to GFP (UbV-GFP) and expressed under the control of the *sur-5* promoter to monitor protein degradation in vivo.<sup>27</sup> In adult wild-type worms, the UbV-GFP protein is efficiently ubiquitinated and degraded by the 26S proteasome (see control RNAi in Figures 3A–3C). RNAi-mediated knockdown of *hecd-1*, a well-studied E3 ligase-encoding gene, leads to an impairment of protein degradation under basal conditions, as shown by a stabilization of the UbV-GFP substrate in *hecd-1* (RNAi)-treated worms (Figure 3A). Knockdown of *oxi-1* (Figure S4B) only minimally affected the degradation of UbV-GFP under basal conditions (Figures 3A–3C). Exposing wild-type worms to low doses of the oxidative stress-inducing agent paraquat resulted in stabilization of UbV-GFP, as shown by GFP fluorescence and immunoblotting.<sup>27</sup> Notably, paraquat treatment led to a dose-dependent stronger stabilization of the UbV-GFP substrate in *oxi-1* (RNAi)-treated worms in comparison to control RNAi (Figures 3B and 3C). Thus, the *C. elegans* ortholog of *UBE3B* is involved in protein degradation by the UPS and might be especially required under oxidative stress conditions.

#### Mouse *Ube3b* Is Expressed in the Central Nervous System

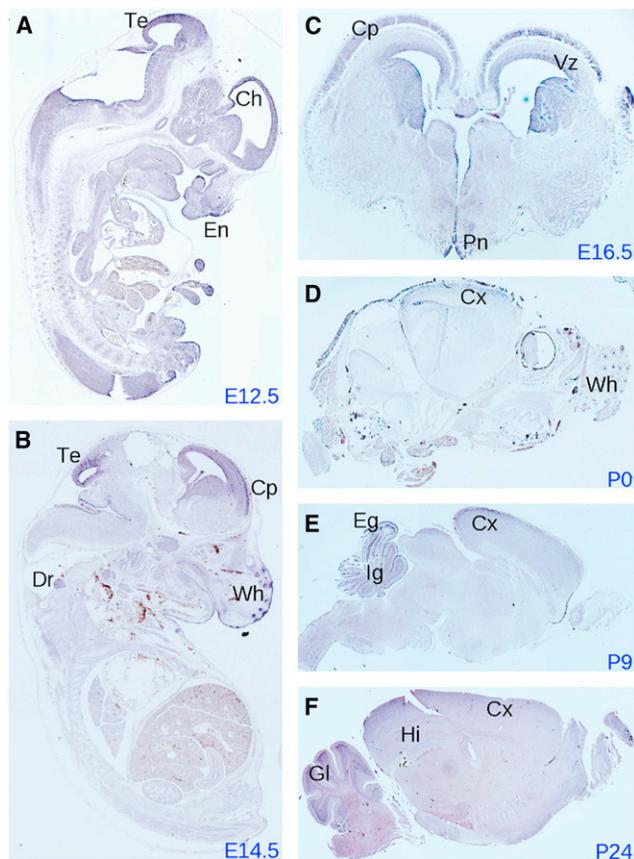
To characterize the spatial and temporal expression pattern of *Ube3b*, we performed in situ hybridizations of embryonic and postnatal mouse tissues, which revealed predominant expression in distinct anatomical structures of the developing central nervous system. These results confirm and extend high-throughput data generated by GenePaint, a digital atlas of gene expression patterns in the mouse.<sup>34</sup>

neuronal progenitor cells undergo asymmetric cell divisions. *Ube3b* was also enriched at E12.5 in the cortical hem (Figure 4A), the hippocampal anlage. Moreover, we found *Ube3b* expression in the tectum (Figures 4A and 4B), the cortical plate (Figures 4B and 4C), the dorsal root ganglia (Figure 4B), and the preoptic neuroepithelium (Figure 4C). Interestingly, *Ube3b* expression was observed in craniofacial structures, namely in the epithelium of the medial nasal process (Figure 4A) and in the whiskers (Figures 4B). Postnatally, we detected prominent *Ube3b* expression in the upper layers of the cerebral cortex (Figures 4D–4F), the cerebellum (Figures 4E and 4F), and the hippocampus (Figure 4F). Taken together, *Ube3b* expression in the central nervous system and in craniofacial structures is consistent with the phenotypes observed in individuals with *UBE3B*-deficient BPID syndrome.

#### Disruption of Mouse *Ube3b* Recapitulates Clinical Aspects of the BPID Syndrome

To gain further insight into the consequences of *UBE3B* deficiency on an organismal level, we analyzed a *Ube3b* mutant mouse. Mice with a disrupted *Ube3b* locus were generated with a BayGenomics genetrap clone carrying the *Ube3b*<sup>Gt(RRJ142)Byg</sup> allele and were maintained on a 129P2/Ola × CBA/Ca background. Phenotyping was conducted at the Wellcome Trust Sanger Institute by the Mouse Genetics Project (see the Sanger Mouse Resources Portal in the Web Resources). The structure of the genetrap allele was confirmed by 5'-RACE and by mapping and sequencing the genetrap integration site within a *Ube3b* intron (data not shown). We confirmed by quantitative PCR that *Ube3b*<sup>-/-</sup> mice do not express detectable levels of *Ube3b* mRNA (Figure S5).

Although some *Ube3b*<sup>-/-</sup> mice were born from heterozygous intercrosses, homozygotes represented only 7% of offspring at 2 weeks (Figure S6A), which is significantly



**Figure 4. Expression Pattern of *Ube3b* in Mouse**  
 In situ hybridization via a *Ube3b* antisense probe on sagittal (A, B, D–F) and coronal (C) sections at embryonic (E) and postnatal (P) days as indicated. Ch, cortical hem; Cp, cortical plate; Cx, cerebral cortex; Dr, dorsal root ganglia; Eg, external granular layer; En, epithelium of the medial nasal process; Gl, granular layer; Hi, hippocampus; Ig, internal granular layer; Pn, preoptic neuroepithelium; Te, tectum; Vz, ventricular zone; Wh, whiskers.

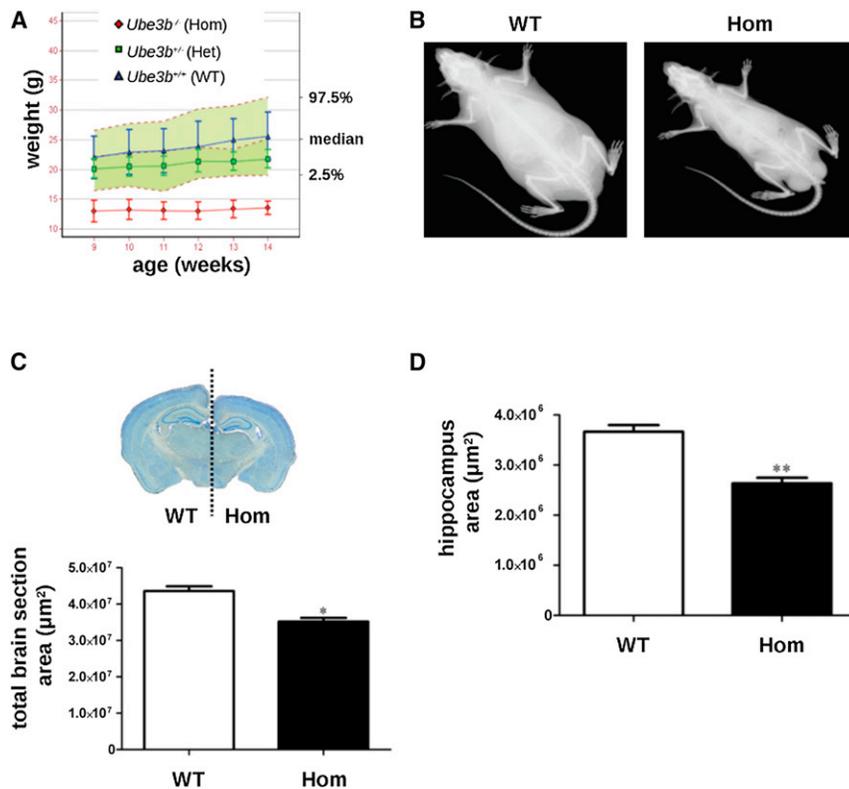
below the expected Mendelian ratio ( $\chi^2$  test,  $p < 0.0001$ ) and indicates an elevated embryonic and/or perinatal lethality. Surviving *Ube3b*<sup>-/-</sup> mice could reproduce, although poorly (data not shown). *Ube3b*<sup>-/-</sup> mice had severely reduced body weight (Figure 5A), small body size (Figure 5B), and reduced brain section area (Figure 5C) with reduced sizes of the hippocampus (Figure 5D) and dentate gyrus (Figure S6B) but no obvious structural abnormalities on histopathological examination (Figure S6C). The reduced brain section size and hippocampus area of *Ube3b*<sup>-/-</sup> mice might in part be related to their low weight but this is unlikely to be the only reason. We verified this by conducting Pearson correlation tests in a pool of 29 age- and sex-matched WT animals. We found that although body weight correlated with brain weight ( $p < 0.05$ ,  $r = 0.4$ ), body weight did not correlate with hippocampus area ( $p = 0.274$ ) or brain section area size ( $p = 0.267$ ) in WT mice. Thus, *Ube3b*<sup>-/-</sup> mice have anomalies of brain size that may reflect the small head circumference/microcephaly seen in affected individuals with the BPID syndrome caused by *UBE3B* mutations.

*Ube3b*<sup>-/-</sup> mice also displayed reduced grip strength (Figure 6A), possibly reflecting central or muscular hypotonia. Additionally, we observed reduced low-density lipoprotein (LDL) levels in mutants (Figure 6B) and a trend toward reduced total and HDL cholesterol levels (data not shown). Notably, mass spectrometry-based analysis of mouse plasma samples showed a significant reduction of total cholesterol and lathosterol in *Ube3b*<sup>-/-</sup> versus WT mice, recapitulating the cholesterol findings in the affected individuals. Mean total cholesterol was 1,642  $\mu\text{g/ml}$  (range 744–2,633) in WT mice ( $n = 12$ ), whereas it was 491  $\mu\text{g/ml}$  (range 338–593) in *Ube3b*<sup>-/-</sup> mice ( $n = 5$ ;  $p = 0.0004$ ). The lathosterol/total sterol ratio was significantly lower in *Ube3b*<sup>-/-</sup> mice (0.025) than in WT mice (0.033;  $p = 0.045$ ).

In addition, homozygotes showed mild hearing impairment (Figures 6C and 6D), in line with previous reports of variable degrees of hearing impairment in Ohdo-like BMR syndromes.<sup>17</sup> We detected no gross inner ear malformations or signs of middle ear inflammation (data not shown). Scanning electron microscopy of the organ of Corti also showed no obvious defect to account for the mild hearing impairment observed (data not shown). Finally, histopathological analysis of eye tissue sections revealed that 2/4 *Ube3b*<sup>-/-</sup> mice displayed acute inflammation, calcification, and dilated lymphovascular channels of the cornea (Figure S6D). Thus, *Ube3b*<sup>-/-</sup> mice phenotype the *UBE3B*-deficient BPID syndrome in several key aspects, namely anomalies of body and brain size, weight, muscular strength, and cholesterol levels.

## Discussion

Exome sequencing has greatly accelerated the identification of causative mutations and novel disease-associated genes in monogenic disorders.<sup>35</sup> It can be combined with linkage analysis in autosomal-dominant, autosomal-recessive, and X-linked disorders or it can be performed in affected children and their unaffected parents in cases of sporadic disease caused by de novo mutations. However, even with these strategies aiming at reducing the number of candidate mutations, the analysis of exome-sequencing data remains challenging because of the sheer number of sequence variants identified. We have applied a stringent filtering strategy that takes advantage of pedigree information. Indeed, filtering for homozygous variants located in larger homozygous stretches in the exome of the affected child born to consanguineous parents and for possible compound heterozygous mutations in the same gene in the family in which the parents were unrelated left us with a single candidate gene, thereby greatly reducing the need for follow-up sequencing studies. Although this filtering strategy critically depended on the genetic homogeneity of the disorder, it is applicable to other recessive disorders, particularly when few patients are available for analysis.



**Figure 5. Reduced Growth of *Ube3b* Mutant Mice**

(A) Homozygous *Ube3b*<sup>-/-</sup> mice (Hom) show a significantly reduced growth rate (weight curve comparison wild-type [WT] versus Hom:  $p < 0.001$ ) than WT or heterozygote (Het) controls. The data are presented relative to a reference range that encompasses 95% of the natural variation, shown as the green shaded area, constructed with 134 WT mice from a variety of genetic backgrounds. Error bars represent SD.

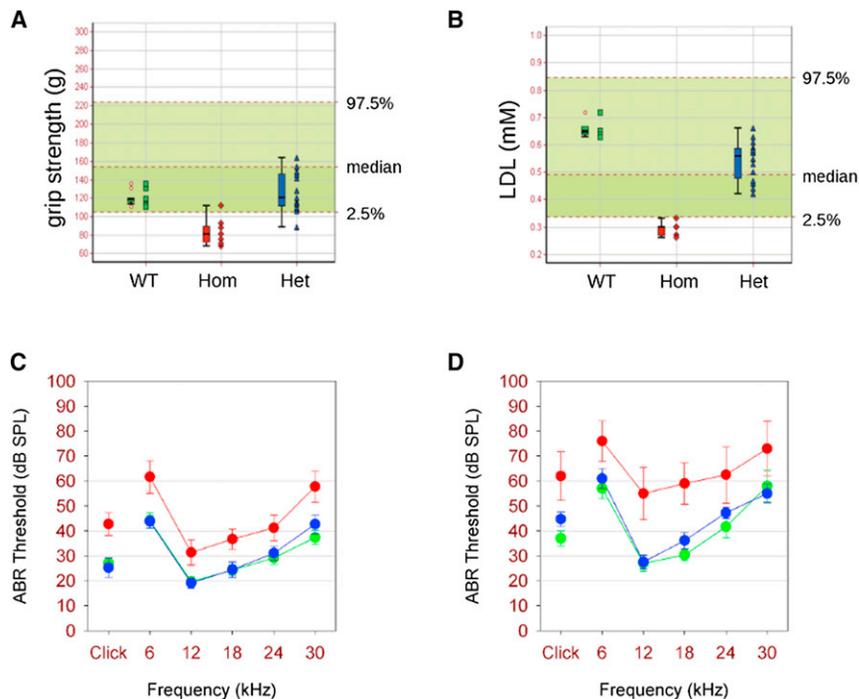
(B) A representative *Ube3b*<sup>-/-</sup> mouse is smaller and thinner than a WT control.

(C and D) A significantly reduced brain section area (C) and a smaller hippocampus area (D) are also evident. \* $p = 0.0151$ , \*\* $p = 0.0049$ . Error bars represent SEM.

Furthermore, our study illustrates the power of combining results from exome sequencing with the generation and phenotypic analysis of a mouse model. For example, observation of low cholesterol levels in two out of three affected individuals might have been attributed to chance or to dietary rather than genetic reasons. However, significantly reduced cholesterol levels in *Ube3b*<sup>-/-</sup> mice seem to argue in favor of an underlying defect in cholesterol metabolism. Our biochemical analyses in patients and mice have indeed consistently confirmed hypocholesterolemia and revealed low levels of cholesterol precursors. Because individuals with low dietary intake or increased intestinal loss of cholesterol have increased levels of cholesterol precursors, including lathosterol (unlike the affected individuals and mice reported here), the observed hypocholesterolemia is more probably due to decreased cholesterol synthesis. *Ube3b* has no recognized function in cholesterol synthesis, and low cholesterol levels in humans and mice deficient for *Ube3b* might be an indirect consequence of altered ubiquitination and degradation of cholesterol-metabolizing enzymes. Although the exact mechanism underlying the observed downregulation of cholesterol synthesis is unknown, the observation of altered cholesterol metabolism in a novel ID syndrome is interesting by itself. As a crucial component of membranes, cholesterol is a constituent of myelin sheaths and lipid rafts in neurons and astrocytes. Because circulating cholesterol derived from dietary uptake and liver synthesis cannot cross the blood-brain barrier, the brain is dependent on cholesterol synthesis of astrocytes and—to a lesser extent—neurons, making it particularly vulnerable

to cholesterol deficiency.<sup>36</sup> This vulnerability is paradigmatically illustrated in Smith-Lemli-Opitz syndrome (SLOS; MIM 270400), a clinically distinct autosomal-recessive neurodevelopmental disorder characterized by growth retardation, microcephaly, ID, and multiple malformations.<sup>37</sup> SLOS is caused by deficiency of the enzyme 7-dehydrocholesterol reductase, which catalyzes the final step of cholesterol biosynthesis. Although SLOS and the *UBE3B*-deficient BPID syndrome share some rather unspecific features such as growth retardation, microcephaly, ptosis, and ID, it is evident from a clinical, dysmorphological, and biochemical point of view that they represent distinct disorders and that most probably there is no direct overlap in their molecular pathophysiology.

In addition to the well-known involvement in neurodevelopment, defects in protein degradation and ubiquitination have also been implicated in the pathogenesis of neurodegenerative conditions such as Alzheimer and Parkinson disease and amyotrophic lateral sclerosis.<sup>38</sup> Furthermore, recent large-scale genome-wide studies of copy-number variations have implicated gene networks of neuronal cell adhesion and ubiquitination in the pathogenesis of autism.<sup>39</sup> A homozygous p.Arg922Cys variant in the HECT domain of *UBE3B* (annotated as p.Arg40Cys by the authors with reference to a shorter transcript)<sup>40</sup> was recently found to cosegregate with autism in a small family, but the pathogenicity of this variant and the possible clinical overlap with the BPID syndrome we describe are currently unknown.<sup>40</sup> The study of monogenic disorders has shed additional light on the role of ubiquitination in the development and function of the central nervous system. Whereas alterations of the imprinted *UBE3A* gene cause Angelman syndrome, mutations of *UBE2A* (MIM 312180; encoding an E2 enzyme) and *HUWE1* (MIM 300697; encoding a HECT domain E3 ligase)<sup>41</sup> cause X-linked ID.<sup>42,43</sup> The identification of



**Figure 6. Neurosensory Abnormalities in *Ube3b*<sup>-/-</sup> Mice**

(A and B) *Ube3b*<sup>-/-</sup> mice show reduced grip strength (A;  $p < 0.0001$  WT versus Hom), and low serum low density lipoprotein (LDL) levels were also observed (B;  $p < 0.0001$  WT versus Hom). The data are presented relative to a reference range that encompasses 95% of the natural variation, shown as the green shaded area, constructed with 132 (A) and 93 (B) WT mice from a variety of genetic backgrounds. Data are shown both as individual data points and as a five point box plot summary that indicates the median, first and third quartiles (box), and minimum and maximum values (whiskers). (C and D) Auditory brainstem response (ABR) thresholds ( $\pm$  SEM) were significantly elevated in homozygotes (red) compared with heterozygotes (blue) and WT (green) littermates at 3 months (C) and at 6 months (D) (mean elevations cf. wild-types  $15.0 \pm 3.5$  dB,  $Q = 4.427$ ,  $p < 0.05$  at 3 months;  $22.8 \pm 5.4$  dB,  $Q = 4.671$ ,  $p < 0.05$  at 6 months).

mutations of *UBE3B* in a complex BMR syndrome comprising ID and multiple congenital anomalies further supports the proposed pivotal role of UPS-mediated protein degradation in organogenesis and in the developing central nervous system. The substrate specificity and biological function of *UBE3B* are currently unknown, but we have shown that its probable *C. elegans* ortholog is indeed involved in UPS-mediated protein degradation. It has long been debated whether reactive oxygen species generated by oxidative stress are a cause or a consequence of neurodegenerative diseases.<sup>44</sup> Oxidative stress can cause protein damage and mitochondrial dysfunction and ultimately result in cell death. Our *in vivo* studies in *C. elegans* suggest that *UBE3B* orthologs are particularly important in the UPS under oxidative stress conditions. Thus, neuronal dysfunction associated with *UBE3B* deficiency might be a consequence of a genetically determined vulnerability toward oxidative stress.

Future studies will be directed at identifying the response to oxidative stress and the ubiquitination targets of *Ube3b*, particularly in the brain. The identification of target proteins of individual ubiquitin ligases is experimentally challenging, but recent large-scale approaches have revealed hundreds of ubiquitination substrates and thereby contributed to a better understanding of the regulation of the proteome.<sup>45</sup> The closest *UBE3B* paralog, *UBE3C*, is associated with the human 26S proteasome through its N terminus<sup>46,47</sup> and is enriched in the human postsynaptic density proteome,<sup>48</sup> members of which are highly associated with ID. These data further link ubiquitin ligases to cognitive function in humans.

Taken together, our results implicate biallelic *UBE3B* loss-of-function mutations in a syndromic form of ID

and *Ube3b* deficiency in multiple anomalies in the mouse and open up new research avenues into the complex contribution of ubiquitination and oxidative stress to mammalian neurodevelopment and brain function.

#### Supplemental Data

Supplemental Data include Supplemental Subjects and Methods, six figures, and three tables and can be found with this article online at <http://www.cell.com/AJHG/>.

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## Web Resources

The URLs for data presented herein are as follows:

- 1000 Genomes Browser, <http://browser.1000genomes.org/index.html>  
Compare groups of growth curves, <http://bioinf.wehi.edu.au/software/compareCurves>  
dbSNP, <http://www.ncbi.nlm.nih.gov/projects/SNP>  
GenePaint, <http://www.genepaint.org>  
NHLBI Exome Sequencing Project (ESP) Exome Variant Server, <http://evs.gs.washington.edu/EVS>  
Online Mendelian Inheritance in Man (OMIM), <http://www.omim.org>  
Sanger Mouse Resources Portal, <http://www.sanger.ac.uk/mouseportal>  
UCSC Genome Browser, <http://www.genome.ucsc.edu>

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